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PATENT

Thereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

December 14,2000

Date

Monica Steinborn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants .

Alexander Gaiger and Martin A. Cheever

Application No.

09/685,830

Filed

October 9, 2000

For

COMPOSITIONS AND METHODS FOR WT1 SPECIFIC

IMMUNOTHERAPY

Docket No.

: 210121.465C3

Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application is a continuation-in-part and relies, under 35 U.S.C. § 120, on the earlier filing date of prior application USAN 09/276,484, filed March 21, 1999; which is a continuation-in-part of USAN 09/164,223, filed September 30, 1998. The references listed on pages 1-11 of the attached Forms PTO-1449 were submitted to and/or cited by the Office in these prior applications and, therefore, are not required to be provided in the present application. If the Examiner wishes, copies will be provided upon request. However, the references listed on pages 12-13 of Forms PTO-1449 were not submitted to and/or cited by the Office and are submitted herewith.

As to any reference made of record on the attached Form PTO-1449, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is

believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

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Registration No. 46,209

JEP:mls

Enclosures:

Postcard Form PTO-1449 (13 Sheets) Copies of Cited References (17)

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